

**Form PTO-1449** (modified)  
List of Patents and Publications  
For Applicant's Information  
Disclosure Statement  
*(use several sheets if necessary)*

ATTY. DKT. NO. 5181-69900

SERIAL NO. 09/653,216

APPLICANT: Slaughter et al.

GROUP: 2161

FILING DATE: August 31, 2000

**OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)**

<i>O I P A</i> <i>AUG 17 2001</i> <i>JM</i> <i>PATENT &amp; TRADEMARK OFFICE</i>	B1	"Java™ Remote Method Invocation Specification," Sun Microsystems, Inc., < <a href="http://java.sun.com/products/dk1.2beta1">java.sun.com/products/dk1.2beta1</a> >, 1997.
	B2	Agha, et al., "Actorspaces: An Open Distributed Programming Paradigm," University of Illinois, Report No. UIUCDCS-R-92-1766, Open Systems Laboratory TR NO. 8, pp. 1-12, November 1992.
	B3	Ahmed, et al., "A Program Building Tool for Parallel Applications," Yale University, pp. 1-23, December 1, 1993.
	B4	Aldrich, et al., "Providing Easier Access to Remote Objects in Client-Server Systems," System Sciences, 1998, Proceedings of the 31 <sup>st</sup> Hawaii Internat'l. Conference, Jan. 6-9, 1998, pp. 366-375.
	B5	Aldrich, et al., "Providing Easier Access to Remote Objects in Distributed Systems," Calif. Institute of Technology, <a href="http://www.cs.Caltech.edu/%7Ejedi/paper.html">www.cs.Caltech.edu/%7Ejedi/paper.html</a> , Nov. 21, 1997.
	B6	Anderson, et al., "Persistent Linda: Linda + Transaction + Query Processing," Proceedings of the 13 <sup>th</sup> Symposium on Fault Tolerant Systems, pp. 93-109, 1991.
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	B12	Bevan, et al., "An Efficient Reference Counting Solution To The Distributed Garbage Collection Problem," Parallel Computing, NL, Elsevier Science Publishers, Amsterdam, Vol. 9, No. 2, pages 179-192, January 1989.
	B13	Birrell, et al., "Distributed Garbage Collection for Network Objects," Digital Systems Research Center, NO. 116, pages 1-18, December 15, 1993.
	B14	Birrell, et al., "Grapevine: An Exercise in Distributed Computing," Communications fo the ACM, Vol. 25, No. 4, pp. 260-274, April 1982.
	B15	Birrell, et al., "Network Objects," DEC SRC Research Report 115, February 28, 1995.
	B16	Birrell, et al., "Implementing Remote Procedure Calls," ACM Transactions on Computer Systems, Vol. 2, No. 1, pp. 39-59, February 1994.
	B17	Birrell, et al., "Network Objects," Operating Systems Review, 27(5), pp. 217-230, December 1993.
	B18	Cannon, et al., "Adding Fault-Tolerant Transaction Processing to LINDA," Software-Practice and Experience, Vol. 24(5), pp. 449-466, May 1994.
	B19	Cardelli, "Obliq, A Lightweight Language For Network Objects," Digital SRC, pp. 1-37, November 5, 1993.
↓	B20	Carriero, et al., "Distributed Data Structures in Linda," Principles of Programming Language, pp. 1-16, 1986.

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B31	Gelernter, et al., "Parallel Programming in Linda," Yale University, pp. 1-21, January 1995.
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B33	Emms, "A Definition Of AN Access Control Systems Language," Computer Standards And Interfaces, Vol. 6, No. 4, pp. 443-454, January 1, 1997.
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B36	Gottlob, et al., "Extending Object-Oriented Systems with Roles," ACM Transactions On Information Systems, Vol. 14, NO. 3, pp. 268-296, July 1996.
B37	Gray, et al. "Leases: An Efficient Fault-Tolerant Mechanism for Distributed File Cache Consistency," ACM, pp. 202-210, 1989.
B38	Guth, "JavaOne: Sun to Expand Java Distriubted Computing Effor," < <a href="http://www.sunworld.com/swol-02-1998/swol-02-sunspots.html">http://www.sunworld.com/swol-02-1998/swol-02-sunspots.html</a> >, XP-002109935, p.1, February 20, 1998.
B39	Guyennet, et al., "A New Consistency Protocol Implemented in the CaliF System," IEEE, 1094-7256/97, pp. 82-87, 1997.

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	B57	Wu, "A Type System For An Object-Oriented Database Systems," Proceedings of the International Computer Software and Applications Conference (COMPSAC), Tokyo, Japan, pp. 333-338, Sept. 11-13, 1991.
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	B76	MUX-Elektronik, Java 1.1 Interactive Course, <a href="http://www.lls.se/~mux/javaic.html">www.lls.se/~mux/javaic.html</a> , 1995.
	B77	Jones, et al., "Garbage Collection: Algorithms for Automatic Dynamic Memory Management," pages 165-175, John Wiley & Sons, 1996.
	B78	Kambhatla, et al., "Recovery with Limited Replay: Fault-Tolerant Processes in Linda," Oregon Graduate Institute, Technical Report CSIE 90-019, pp. 1-16, September 1990.
	B79	Kay, et al., "An Overview of the Raleigh Object-Oriented Database System," ICL Technical Journal, Vol. 7, No. 4, pp. 780-798, Oxford, GB, November 1991.

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EXAM. INITIALS	REF. DES.	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB CLASS	TRANSLATION YES/NO
JM	D1	5,778,187	7/7/98	Monteiro, et al.			
	D2	5,832,529	11/3/98	Wollrath, et al.			
↓	D3	6,016,500	1/18/00	Waldo, et al.			

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EXAM. INITIALS	REF. DES.	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB CLASS	TRANSLATION YES/NO
JM	C1	11-45187	10/10/97	JP			
	C2	2 253 079	8/26/92	GB			
	C3	2 262 825	6/30/93	GB			
	C4	2 305 087	3/26/97	GB			
	C5	300 516	1/25/89	EP			
	C6	351 536	1/24/90	EP			
	C7	384 339	8/29/90	EP			
	C8	472 874	3/4/92	EP			
	C9	474 340	3/11/92	EP			
	C10	497 022	8/5/92	EP			
	C11	555 997	8/18/93	EP			
	C12	565 849	10/20/93	EP			
	C13	569 195	11/10/93	EP			
	C14	697 655	2/21/96	EP			
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	C16	651 328	5/3/95	EP			
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↓	C21	794 493	9/10/97	EP			

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	C23	803 811	10/29/97	EP			
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	C29	836 140	4/15/98	EP			
	C30	92/07335	4/30/92	WO			
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JM	C40	Jaworski, "Java 1.1 Developer's Guide, 2 <sup>nd</sup> Edition," Sams.net, 1997.
	C41	Coulouris, et al., "Distributed Systems Concepts and Designs," Second Edition, Addison-Wesley, 1994.
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	D4	Rob Guth, "Sun tries on JacaSpaces for Distributed OS," August 1997, Vol. 19, Issue 34, 2 pages.
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JM	D7	K. F. Eustice, et al., "Universal Information Appliance," IBM Systems Journal, Vol. 38, No. 4, 1999, Pp. 575-601.		
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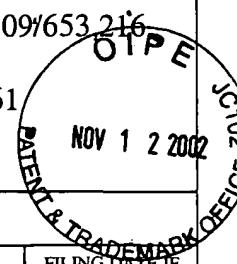
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JM	E1	191 766	3/27/02	EP			
JM	E2	99/44125	9/2/99	WO			
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JM	E3	"XML and Jini - On Using CML and the "JAVA Border Service Architecture" to Integrate Mobile Devices Into the JAVA Intelligent Network Infrastructure," Mueller-Wilken S., et al., XP-002188507, February 29, 2000, pp. 1-2.
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	E7	"Jini™ Distributed Leasing Specification," Sun Microsystems, Inc., Revision 1.0, XP-002209076, January 25, 1999, pp. i-22.
↓	E8	International Search Report for PCT/US 01/15136, mailed September 25, 2002.

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